## Partner Due Diligence Questionnaire

# Basic details checks

|  |  |
| --- | --- |
| **Questions** |  |
| **Have you worked with this organisation in the last 3 years?**  |  |
| **Is the partner based in a country in any of the sanction lists?** 1. **Broadly Restricted (Sanctions against them by the US, UK, EU and/or UN) – can’t travel or send money to, or receive money from them (**[**https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases**](https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases)**)**

|  |  |  |
| --- | --- | --- |
| 1. **Afghanistan**
 | 1. **Republic of Belarus\*\***
 | 1. **Crimea (and other occupied Ukraine regions)\*\***
 |
| 1. **Cuba\***
 | 1. **Haiti**
 | 1. **Iran**
 |
| 1. **Libya**
 | 1. **Mali**
 | 1. **Myanmar (Burma)**
 |
| 1. **North Korea**
 | 1. **Russia\*\***
 | 1. **Somalia**
 |
| 1. **South Sudan**
 | 1. **Sudan**
 | 1. **Syria**
 |
| 1. **Venezuela**
 |  |  |

 **\* - *Check if OK with finance if no US involvement*** **\*\* - Relates to Russian invasion of Ukraine**1. **Narrowly Restricted (subject to trade restrictions and/or limited Sanctions on specific government agencies, officials etc.) – mainly issues with individuals and other organisations/people associated with them (**[**https://www.gov.uk/government/organisations/office-of-financial-sanctions-implementation**](https://www.gov.uk/government/organisations/office-of-financial-sanctions-implementation)**)**

|  |  |  |
| --- | --- | --- |
| 1. **Republic of Belarus**
 | 1. **Bosnia & Herzegovina**
 | 1. **Central African Republic**
 |
| 1. **Democratic Republic of Congo**
 | 1. **Egypt**
 | 1. **Eritrea**
 |
| 1. **Republic of Guinea**
 | 1. **Republic of Guinea-Bissau**
 | 1. **Haiti**
 |
| 1. **Iraq**
 | 1. **Lebanon**
 | 1. **Libya**
 |
| 1. **Mali**
 | 1. **Myanmar (Burma)**
 | 1. **Nicaragua**
 |
| 1. **Somalia**
 | 1. **South Sudan**
 | 1. **Yemen**
 |
| 1. **Zimbabwe**
 |  |  |

1. **Other restrictions (countries subject to arms embargo,** [**ECOWAS**](https://ecowas.int/)**, trade sanctions and other trade restrictions –** [**https://www.gov.uk/guidance/current-arms-embargoes-and-other-restrictions#arms-embargo-trade-sanctions-and-other-trade-restrictions**](https://www.gov.uk/guidance/current-arms-embargoes-and-other-restrictions#arms-embargo-trade-sanctions-and-other-trade-restrictions)

|  |  |  |
| --- | --- | --- |
| 1. **Afghanistan**
 | 1. **Armenia**
 | 1. **Azerbaijan**
 |
| 1. **Belarus**
 | 1. **Benin [ECOWAS]**
 | 1. **Burkina Faso [ECOWAS]**
 |
| 1. **Myanmar (Burma)**
 | 1. **Cape Verde [ECOWAS]**
 | 1. **Central African Republic**
 |
| 1. **China [Telecoms, Computer Sci, Military, IT]**
 | 1. **Ivory Coast [ECOWAS]**
 | 1. **North Korea**
 |
| 1. **Democratic Republic of Congo**
 | 1. **Gambia [ECOWAS]**
 | 1. **Guinea [ECOWAS]**
 |
| 1. **Guinea-Bissau [ECOWAS]**
 | 1. **Hong Kong**
 | 1. **Iran**
 |
| 1. **Iraq**
 | 1. **Lebanon**
 | 1. **Liberia [ECOWAS]**
 |
| 1. **Libya**
 | 1. **Mali [ECOWAS]**
 | 1. **Niger [ECOWAS]**
 |
| 1. **Nigeria [ECOWAS]**
 | 1. **Russia**
 | 1. **Senegal [ECOWAS]**
 |
| 1. **Sierra Leone [ECOWAS]**
 | 1. **Somalia**
 | 1. **South Sudan**
 |
| 1. **Syria**
 | 1. **Togo [ECOWAS]**
 | 1. **Venezuela**
 |
| 1. **Yemen**
 | 1. **Zimbabwe**
 |  |

Certain goods transiting the UK are regarded as being exported when they leave the country and are subject to control. No exception applies to certain goods destined for countries listed here, meaning that a licence is required to transit goods through the UK or tranship them in the UK with a view to re-exportation to these countries. The Export Control Order 2008 includes a [list of the countries](https://www.legislation.gov.uk/uksi/2008/3231/schedule/4/made) which are subject to transit controls for military goods, software and technology, and a list of countries which are subject to transit controls for Category B goods. Military goods are those as listed in [Schedule 2](https://www.legislation.gov.uk/uksi/2008/3231/schedule/2/made) to the Export Control Order. [Category B](https://www.legislation.gov.uk/uksi/2008/3231/schedule/1/part/2/made) of the controls comprises small arms and light weapons, unmanned aerial vehicles (UAVs), long-range missiles and man-portable air-defence systems.*If the answer to a) or b) is a yes, please refer to RES for further advice on whether it will be possible to work with the proposed partner. If the answer to c) is a yes, please check that the project will not involve any work within a restricted area. If it does, please refer to RES for advice.* |  |
| Does the partner have a live website? (add web address) |  |
| Have you conducted an online search of the partner? List here any news stories of potential issues e.g. fraud, corruption, health and safety breaches, finances, etc. *If there are any details online of recent or current issues affecting the partner, please refer them to RES for review.* |  |
| Are there any concerns about the organisation or the links it has to other organisations or Governments? |  |
| Is the partner organisation based in India? If yes, have they set up a Government approved FCRA account with the designated bank – SBI in New Delhi - into which funds can be transferred? ([https://fcraonline.nic.in/Home/index.aspx#](https://fcraonline.nic.in/Home/index.aspx)) |  |
| Is the partner a Chinese university? If yes, what is their China Defence Universities Tracker rating on <https://unitracker.aspi.org.au/>? If Very High Risk or High Risk, check for further guidance. |  |

# Some more information on the Project

|  |  |
| --- | --- |
| Are there any local, regional, national requirements that may impact on the project e.g. import or export controls, ethics, research permit, requirement for special visas to be obtained prior to conducting research in the project country? (*e.g. Kenya*) |  |
| Are there any external fees or charges that the organisation would be subject to in order to be able to carry out externally funded research projects? (e.g. fees for ethical review or withholding tax). |  |
| Will any materials be sent to the overseas partner? If yes, does it fall into an area of research where export controls may apply (see [here](https://www.reading.ac.uk/research-services/trusted-research-and-innovation/export-controls) for details)? |  |